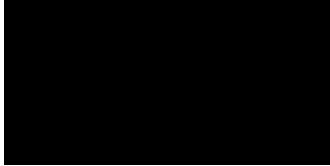



ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

December 10, 2019



Reference: **FR2019-1022-0077/E**

Org Id: 

1. Retirement Ready or Not Presentation (#TC110124(0919)P)
Rule: FIN 2210
36 Pages

Total Fee: \$385

Dear 

The communication submitted appears consistent with applicable standards.

Reviewed by,

Susan M. Kole
Principal Analyst

jws

Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.

NOTE: *We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the*



communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.